

**Statutory disclosure in terms of the Financial
Advisory and Intermediary Services Act, 2002 (FAIS Act)**

Disclosure and Declaration. As a client of Global Markets, you have the right to the information in this notice

Your financial services provider	
Name	The Standard Bank of South Africa Limited
Division	Global Markets - Corporate and Investment Banking
FSB licence	11287
Street address	30 Baker Street, Rosebank
Telephone number	011 721 9315
FAIS Registered Compliance Officer and contact details	Claire Mcloughlin - 011 721 9315 Claire.Mcloughlin@standardbank.co.za
Legal status	
<ul style="list-style-type: none">• Company Registration Number 1962/000738/06• A public company established in 1962.• A registered bank in terms of the Banks Act, 1990.• A wholly owned subsidiary of The Standard Bank Group Limited.• We have shareholding in other companies, details of which can be supplied on request or can be viewed at www.standardbank.co.za	
Undertaking	
<ul style="list-style-type: none">• As a licensed financial services provider, we will always try to serve and protect your best interests. This includes making sure that our staff meets the requirements of all law, including the FAIS Act, to help you in a professional way.	
Professional indemnity insurance	
We hold professional indemnity insurance.	
Complaints	
If you have any complaints, you can contact our Complaints Resolution Centre	
<ul style="list-style-type: none">• On 0860 101 101• Or Email: ComplaintResolutionCentre@standardbank.co.za• Or fax 011-636-8860	
A copy of our complaints handling process is available on request.	
FAIS Ombudsman	
Physical address	Sussex Office Park Ground Floor, Block B 473 Lynnwood Road Cnr Lynnwood Road & Sussex Ave Lynnwood, 0081
Telephone	012 470 9080 / 012 348 3428
Fax	012 348 3447 / 0866 147 251
Email	info@faisombud.co.za
Website	www.faisombud.co.za

Conflicts of interest

The Standard Bank Group has a conflicts of interest policy which can be viewed on our website www.standardbank.co.za
Our staff are salaried and also motivated through a variety of performance based incentives.

Products and services

Global Markets under the SBSA license is licensed to market and provide financial services on the following products and services as defined in the Act:

Category I – Advice and intermediary services

Securities and instrument: Shares
Securities and Instruments : Money market instruments
Securities and Instruments : Debentures and securitised debt
Securities and Instruments : Warrants, certificates and other instruments
Securities and Instruments : Bonds
Securities and Instruments : Derivative instruments
Participatory interests in Collective Investment Scheme
Deposits Defined in the Banks Act - exceeding 12 months
Deposits defined in the Banks act - 12 months or less

General terms and disclaimer

- The value of investments may fluctuate, and past performance is not necessarily a guide to future performance. As a result capital cannot be guaranteed.
- The holding of offshore investments may be subject to current South African laws.
- While we offer broad opinions on tax-related matters, we do not give tax advice. Please consult your tax adviser.

Acknowledgement of receipt

I was not asked nor forced to waive any of my rights in terms of the FAIS Act. I confirm that I have read and understand this notice and have been given a copy of this document.